

**THIS TEXT IS UNOFFICIAL TRANSLATION AND MAY NOT BE USED AS A BASIS FOR SOLVING ANY DISPUTE**

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Pursuant to the third paragraph of Article 13 of the Banking Act (Official Gazette of the Republic of Slovenia, No. 25/15; hereinafter: the ZBan-2) and the first paragraph of Article 31 of the Bank of Slovenia Act (Official Gazette of the Republic of Slovenia, Nos. 72/06 [official consolidated version] and 59/11), the Governing Board of the Bank of Slovenia hereby issues the following

**REGULATION**  
**on the application of Guidelines on the minimum list of qualitative and quantitative recovery plan indicators**

**Article 1**  
**(purpose and field of application)**

(1) Pursuant to the first paragraph of Article 16 of Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC (OJ L 331 of 15 December 2010, p 12), on 23 July 2015 the European Banking Authority issued the Guidelines on the minimum list of qualitative and quantitative recovery plan indicators (hereinafter: the guidelines), which are published on its website.

(2) The guidelines referred to in the first paragraph of this article set out the minimum framework of indicators that institutions must include in the recovery plan across the categories of capital indicators, liquidity indicators, profitability indicators, asset quality indicators, market-based indicators and macroeconomic indicators, which serve the purpose of the adequate and timely implementation of measures in the recovery plan.

(3) The guidelines shall apply to:

1. institutions as defined in point 3 of the first paragraph of Article 4 of Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 on prudential requirements for credit institutions and investment firms and amending Regulation (EU) No 648/2012 (OJ L 176 of 27 June 2013, p 1; hereinafter: Regulation (EU) No 575/2013), when meeting the obligations set out in Section 6.7 of the ZBan-2; and
2. competent authorities as defined in point 40 of the first paragraph of Article 4 of Regulation (EU) No 575/2013.

**Article 2**  
**(content of regulation and scope of application of guidelines)**

(1) By virtue of this regulation the Bank of Slovenia sets out the application of the guidelines to:

1. banks and savings banks that in accordance with the ZBan-2 have obtained an authorisation to provide banking services in the Republic of Slovenia (hereinafter: banks), when meeting the obligations set out in Section 6.7 of the ZBan-2 in connection with the preparation of a recovery plan; and
2. the Bank of Slovenia, when in accordance with the ZBan-2 in its role as the competent authority it is exercising supervisory powers and tasks over banks referred to in point 1 of this paragraph.

(2) In preparing a recovery plan, banks that must meet obligations under Section 6.7 of the ZBan-2 shall take full account of the provisions of the guidelines in the parts addressed to banks.

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(3) In exercising its supervisory powers and tasks in accordance with the ZBan-2 and Regulation (EU) No 575/2013, the Bank of Slovenia shall take full account of the provisions of the guidelines in the parts relating to the exercise of the powers and tasks of the competent authority.

**Article 3  
(entry into force)**

This regulation shall enter into force on the day after its publication in the Official Gazette of the Republic of Slovenia.

Ljubljana, 25 August 2015

Dr Janez Fabijan  
Deputy-President,  
Governing Board of the Bank of Slovenia