

## APPENDIX 3: FIN FORM FOR GRANTING OF AUTHORISATION TO ACQUIRE QUALIFYING HOLDING

### Introductory notes

The information in this form is used in the assessment of the suitability of a future qualifying holder who is a natural person from the perspective of his/her financial soundness.

The information obtained by this form shall be treated as confidential in accordance with Article 14 of the Banking Act (hereinafter: the ZBan-2), and shall be used solely for the purposes set out in Article 15 of the ZBan-2. Confidential information may only be forwarded or disclosed to third parties under the conditions set out in the ZBan-2.

The ECB privacy statement is available on the Bank of Slovenia website.<sup>1</sup>

The future qualifying holder should cite the source for the values of individual items of the financial position (e.g. bank statement, Ljubljana Stock Exchange closing prices, in-house valuation model, valuation by independent appraiser, SMARS valuation, SISBON extract). Whether provided by intent or negligence, false, incomplete or misleading information may lead to doubts as to the future qualifying holder's suitability.

ITEMS OF FINANCIAL POSITION	STOCK AS AT		
	31 DEC 20xx	31 DEC 20xx – 1	31 DEC 20xx – 2
<b>A. ASSETS</b>			
1. Cash and cash equivalents			
- current account			
- savings account			
- certificates of deposit			
2. investment assets			
- assets in securities accounts			
- assets in pension insurance accounts			
- capital holdings			
- real estate			
• investment property			
• other property			
3. Other assets			
- <i>please state the type of asset</i>			
<b>B. LIABILITIES</b>			
1. Short-term loan borrowings			
2. Non-current liabilities			
3. Other liabilities			
<b>C. COLLATERAL</b>			
1. Pledges and guarantees received			
2. Pledges and guarantees granted			
<b>D. NET WORTH</b>			
<b>Date of completion of form</b>			
<b>Signature of future qualifying holder*</b>			

\* By signing, the future qualifying holder confirms that the information cited in the form is accurate and complete, and grants the Bank of Slovenia permission to verify the information in official records.

<sup>1</sup> <http://www.bsi.si/nadzor-bank.asp?Mapaid=2087>